

Annexure V

Formats for samples verified

Margins

Date	TM Code/CP Code	Margin Obligation (as per MG12)	Ledger Balance Verified	FDR Verified	BG Verified	Securities Verified	Client Margin Reported
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DMS

Date	TM Code/CP Code
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Weekly Reporting of Collateral Data

Date	TM Code/CP Code
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Segregated client collateral reporting

Date	TM Code/CP Code	Placed with CM as reported	Retained by CM as reported	Placed with NCL as reported
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Allocation of segregated client collateral

Date	TM Code/CP Code	Allocation as per CC02	Sum of Cash/FD/BG reported as placed with CM (as per T+1 report)	Sum of Cash/FD/BG passed on to NCL (as per T+1 report)
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Monitoring pay-in timelines by the TMs

Date	TM Code/CP Code	Payin Obligation
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Monitoring penalties levied to clients of the Trading Members

Date	TM Code/CP Code	Penalty levied
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BG made out of client funds

Date	BG Instrument no.	TM PAN	BG Amount	Complies with SEBI circular dated April 25, 2023 (Yes/No)	Remarks
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